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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	ATTORNEY'S DOCKET NUMBER 1400.002
	U S APPLICATION NO (If known, see 37 CFR 1 5 **09/786557**

INTERNATIONAL APPLICATION NO. PCT/FR99/02083	INTERNATIONAL FILING DATE 02 September 1999	PRIORITY DATE CLAIMED 04 September 1998

TITLE OF INVENTION

CHIP CARD READER

APPLICANT(S) FOR DO/EO/US

Jacek KOWALSKI and Bruno CHARRAT

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. ☐ is attached hereto (required only if not communicated by the International Bureau).
   b. ☒ has been communicated by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
   a. ☒ is attached hereto.
   b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☐ Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. ☐ are attached hereto (required only if not communicated by the International Bureau).
   b. ☐ have been communicated by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).

9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).   (unexecuted)

10. ☐ An English lanuage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A FIRST preliminary amendment.

14. ☐ A SECOND or SUBSEQUENT preliminary amendment.

15. ☐ A substitute specification.

16. ☐ A change of power of attorney and/or address letter.

17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☐ Other items or information:

U.S. [x] ~~09/786557~~

INTERNATIONAL APPLICATION NO PCT/FR99/02083	ATTORNEY'S DOCKET NUMBER 1400.002

	CALCULATIONS PTO USE ONLY

21. [x] The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO **$1000.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **$690.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =

$ 860.00	

Surcharge of **$130.00** for furnishing the oath or declaration later than [ ] 20 [ ] 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

$ --	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$	
Total claims	14 - 20 =	0	x $18.00	$ --	
Independent claims	2 - 3 =	0	x $80.00	$ --	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $270.00	$ --	

TOTAL OF ABOVE CALCULATIONS =

$ 860.00	

[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.   +

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SUBTOTAL =

$ 860.00	

Processing fee of **$130.00** for furnishing the English translation later than [ ] 20 [ ] 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

$ --	

TOTAL NATIONAL FEE =

$ 860.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +

$	

TOTAL FEES ENCLOSED =

$ 860.00	

	Amount to be refunded:	$
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a. [x] A check in the amount of $ 860.00   to cover the above fees is enclosed.

b. [ ] Please charge my Deposit Account No. _____ in the amount of $ _____ to cover the above fees.
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c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 14-1080 . A duplicate copy of this sheet is enclosed.

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information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

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FORM PTO-1390 (REV 11-2000) page 2 of 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

National Phase of PCT/FR99/02083

International Filing Date: September 2, 1999

Inventors: Jacek Kowalski and Bruno Charrat

Title: *Chip Card Reader*

Priority: French Application No. 98 11443; Filed September 4, 1998

Attorney Docket: 1400.002   Customer No. 023907

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
DO/EO/US
Washington DC 20231

Sir:

    This Preliminary Amendment is directed to a new U.S. application as identified above.

Please enter this preliminary amendment prior to calculating the fees.

    Please amend the application as follows:

IN THE SPECIFICATION

    Page 1, after the title insert the heading -- BACKGROUND OF THE

INVENTION --; and the subheading -- 1. Field of the Invention --;

        between lines 2 and 3, insert the subheading -- 2. Description of the

Related Art --;

    Page 2, between lines 8 and 9, insert the heading -- OBJECTS AND SUMMARY OF

THE INVENTION --;

    Page 4, between lines 25 and 26, insert the heading -- BRIEF DESCRIPTION OF THE

DRAWINGS --;

    Page 5, between lines 4 and 5, insert the heading -- DESCRIPTION OF THE

PREFERRED EMBODIMENTS --.

IN THE CLAIMS

Claim 3, line 1, cancel "one of the claims 1 and 2" and substitute -- claim 1 --;

Claim 5, line 1, cancel "one of the claims 1 to 4" and substitute -- claim 1 --;

Claims 6 and 7, line 1, cancel "one of the claims 1 to 5" and substitute -- claim 1 --;

Claim 8, line 1, cancel "one of the claims 1 to 7" and substitute -- claim 1 --;

Claim 9, line 1, cancel "one of the preceding claims" and substitute -- claim 1 --;

Claim 13, line 1, cancel "one of the claims 10 to 12" and substitute -- claim 1 --;

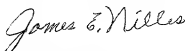Claim 14, line 1, cancel "one of the claims 10 to 13" and substitute -- claim 1 --;

IN THE ABSTRACT

Page 21, last line, cancel "Fig. 1".

REMARKS

This application has been amended to insert headings in the specification, to eliminate the multiple dependencies in the claims, and to conform the Abstract in accordance with preferred U.S. Patent Office practice. Entry of the amendments and early consideration and allowance are respectfully requested.

Respectfully submitted,

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Dated: March 2, 2001

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CHIP CARD READER

The present invention relates to chip card readers, and more
particularly to readers with two operating modes.

In the past few years, there has been developed and designed
integrated circuits called "contactless", arranged to receive or

5 transmit messages using electromagnetic induction by means of an
antenna coil. Such integrated circuits are for example described in
the   international   applications   WO   98/02840,   WO   98/02980,
WO 98/05123, WO 98/06057, WO 98/06056 of the applicant. These
circuits allow contactless chip cards to be provided, which should

10 progressively replace conventional contact cards.

In view of the important number of contact card readers
existing in the market, the question quickly arose to know how the
passage from the contact card technology to the contactless card
technology could be done in a simple and rational way, without being

15 necessary to replace all the existing readers and all the contact
cards held by users.

There have thus been proposed chip cards with two operating
modes, or hybrid cards, comprising both contact zones and an antenna
coil. The applications WO 98/06057 and WO 98/59319 of the applicant

20 relate to such hybrid cards.

However, hybrid cards having a substantially higher cost price
than the two other types of cards, it is probable that contact cards
will continue to be commercialized. Thus, the market of chip cards
will comprise, in a near future, contactless card readers, contact

25 card readers, contact cards, contactless cards and hybrid cards.

In order to decrease the total number of chip card readers,
one has thus also thought to design readers with two operating
modes, able to equally interact with contact chip cards and with
contactless chip cards.

The application WO 97/46964 describes such a reader, comprising [fig. 10] a read head [50] for a contactless chip card and a read head [28] for a contact chip card, both heads being connected to the communication bus [62] of the central processing
5 unit [64] of the reader by means of a multiplexer [61]. According to the position of the multiplexer [61], the central processing unit [64] is thus connected to the contactless read head [50] or to the contact read head [28].

The present invention has the object of providing an
10 alternative to this conventional structure of card reader with two operating modes.

A more particular object of the present invention is to provide a card reader with two operating modes which can be implemented in a simple way from a conventional contact card reader,
15 without requiring a significant hardware modification of the reader.

This object is achieved by a chip card reader comprising a central processing unit comprising means for emitting and receiving, on a communication bus, binary messages having a first format determined by a communication protocol for contact chip card, a card
20 receiving device comprising a contact card connector connected to the central processing unit by means of the communication bus, and a read head for contactless chip card comprising a serial interface, wherein the serial interface of the contactless read head is directly connected at least to a data wire of the communication bus,
25 and the contactless read head is arranged in a hardware and/or software way so as not to respond to a contact chip card activation command received on the serial interface, and to respond to a specific activation command of the contactless read head different from a contact chip card activation command.

30 According to one embodiment, the contactless read head is further electrically supplied by a supply wire of the communication bus.

According to one embodiment, the contactless read head comprises means for being set into an inhibition state at its power-on, and for leaving the inhibition state when receiving the specific activation command.

5 According to one embodiment, the central processing unit comprises means for performing the following operations when receiving a detection signal of the presence of a card in the reader: sending, on the communication bus, a contact card activation command, and waiting for a first response; if the first response is 10 received, establishing or trying to establish a communication with a contact card; if the first response is not received in a predetermined time interval, sending the specific activation command of the contactless read head on the communication bus, and waiting for a second response; if the second response is received, 15 establishing or trying to establish a communication with a contactless card.

According to one embodiment, the contact card activation command is a reset command according to the standard ISO 7816.

According to one embodiment, the specific activation 20 command of the read head is a command which is likely to be never sent to a contact chip card.

According to one embodiment, the specific activation command of the read head is a signal sent on at least one wire of the communication bus which is not used by contact chip cards.

25 According to one embodiment, the contactless read head comprises means for converting messages received with the first format on the serial interface into messages having a second format determined by a communication protocol for contactless chip cards and, vice versa, converting messages received with the second format 30 into messages with the first format.

According to one embodiment, the contactless read head is integrated in a small size circuit arranged close to or inside the receiving card device.

The present invention also relates to a read head for contactless chip card, comprising a serial interface for receiving or emitting messages with a first format determined by a communication protocol for contact chip card, and means for being set into an inhibition state at its power-on, and for leaving the inhibition state when receiving a specific activation command received on the serial interface.

According to one embodiment, the inhibition state is so that the read head does not respond to any command except to the activation command of the read head.

According to one embodiment, the inhibition state is so that the read head does not respond to any command except to the activation command of the read head or a chip card activation command.

According to one embodiment, the read head comprises means for returning to the inhibition state when receiving a deactivation command received on the serial interface.

According to one embodiment, the read head comprises means for converting messages received with the first format on the serial interface into messages having a second format determined by a communication protocol for contactless chip card, and, vice versa, converting messages received with the second format into messages with the first format and responding to a specific activation command of the contactless read head different from a contact chip card activation command.

These objects, characteristics and advantages, as well as others of the present invention will be described with more details in the following description of an embodiment of a chip card reader and a contactless read head according to the invention, in conjunction with the accompanying drawings in which:

- Figure 1 shows in block form the electric architecture of a chip card reader and of a contactless read head according to the invention,

- Figures 2A to 2C show various examples of cards which can be read by the reader of figure 1, and

- Figure 3 is an exploded view of an embodiment of a card-receiving device according to the invention.

Figure 1 shows a card reader 10 according to the invention, which conventionally comprises:

- a central processing unit 20,

- an internal communication bus 60, here of the type ISO 7816, comprising a supply wire VCC, a ground wire GND, a data wire I/O, a clock wire CLK, and a reset wire RST, and

- a card-receiving device 40 provided with an electric connector 42 for contact chip cards.

Device 40 conventionally comprises a receptacle 41 for receiving a card 50 and is provided with any manual or automatic insertion mechanism (not shown). Inside receptacle 41 is located a conventional connector 42, a friction or a landing connector, as well as an excursion end detector 43 providing a signal DET when a card is inserted. Connector 42 comprises various contact members for contacting a chip card, for example pins or metallic blades, each being connected to one of the wires of communication bus 60.

According to the invention, reader 10 comprises a read head 30 for contactless chip card comprising a serial interface 31 directly connected to communication bus 60, without passing through a multiplexer or any other equivalent switching means. Read head 30 is furthermore connected to an antenna coil 44 arranged here close to connector 42, in card receiving device 40.

According to the invention, contactless read head 30 presents various software and hardware features which will now be described, enabling read head 30 to be directly connected to bus 60, for providing a reader with two operating modes in a simple and low cost way, without being necessary to change either the hardware structure of central processing unit 20 or the software that it uses to manage the communications with contact cards.

Read head 30 has a _per se_ conventional structure, and comprises a microprocessor MP1, a memory MEM1, the serial interface 31 and a contactless communication interface 32.

Contactless interface 32 conventionally comprises a driver
5   circuit DRV, a modulator circuit MD and a demodulator circuit DMD, connected to the terminals of coil 44. Circuit DRV delivers an alternating excitation signal with a standard frequency of 125 kHz or 13,56 MHz. Circuit MD modulates the excitation signal according to messages DTX to be transmitted, supplied by microprocessor MP1.
10   Circuit DMD demodulates the excitation signal for extracting messages DTR received by load modulation and inductive coupling. The messages DTR are supplied to microprocessor MP1.

Serial interface 31 comprises ISO 7816 terminals I/O, VCC, GND, CLK, RST. It is connected to the wires I/O, VCC and GND of bus
15   60 and is here not connected to the wires RST and CLK. Terminal I/O is connected to an input/output port P1 of microprocessor MP1. Terminal RST, although not connected to wire RST, may however be connected to a port P2 of microprocessor MP1 for providing an embodiment which will be described hereafter.
20   Advantageously, terminal VCC, connected to the corresponding wire of bus 60, is here used as a voltage supply node of the whole read head 30.

Moreover, memory MEM1 comprises data (DATA) and a program PG30 having a conventional layered structure, program PG30 comprising
25   various sub-routines PG31 to PG39 described by table 1 hereafter.

Table 1 (content of memory MEM1)

PG39	Management program of the inhibited/active state
PG38	Management program of serial interface 31
PG37	Conversion program from protocol P_{CTL} to protocol ISO 7816
PG36	Conversion program from protocol ISO 7816 to protocol

	P_{CTL}
PG35	Security management program
PG34	Enciphering Algorithm
PG33	Decoding algorithm of messages DTR
PG32	Coding algorithm of messages DTX
PG31	Operating system of microprocessor MP1

Programs PG32 and PG33, _per se_ conventional, respectively provide the coding of the messages DTX sent to a contactless card and the decoding of the messages DTR received from the card. Program

5 PG35 manages the authentication procedures of contactless cards, and uses program PG34 for generating enciphered authentication binary words. Program PG36 provides the conversion of the messages received with the format ISO 7816-4 on serial interface 31 into messages having a format defined by the contactless communication protocol,

10 which will be called P_{CTL}. This protocol having been not yet the object of standardization, each integrated circuit designer is, to date, free to choose the protocol which is, according to him, the best appropriate. Thus, by way of a non-limiting example, the format P_{CTL} provided by the applicant for sending commands to a contactless

15 card comprises the four following bytes:

CODE: command code

ADD: address in the card memory

CRC1: first signature byte

CRC2: second signature byte

20 In this case, the commands ADPU provided by the standard ISO 7816-4, received with the following format:

CLA	INS	P1	P2	Lc	DATA	Le

are converted into commands of the above-cited type, and vice versa.

To aid in better understanding, an ISO command of the type READ comprising the following bytes (expressed in hexadecimal):

CLA = 80_h (proprietor class)

INS = $B2_h$ (read command)

P1 = xx_h (number of the block to be read)

P2 = 04_h (default parameter)

L = 3 (number of bytes to be read)

is converted into a command P_{CTL} of the type:

CODE = 30h

Address = xx/4

CRC1

CRC2

It can be seen here that the format conversion may relate not only to the format of the commands but also to the codes of the commands and the values of the address fields, depending on the requirements of the application and the structure of the memory of the contactless card which is used.

Complementarily, program PG37 converts the messages received with the format P_{CTL} by contactless interface 32 into ISO 7816-4 responses of the type:

(Body)	(suffix 1)	(suffix 2)
Data field	SW1	SW2

these commands being sent on bus 60 by means of serial interface 31.

On the other hand, program PG38 is a program which supervises the overall operation of read head 30 and coordinates the other programs PG32 to PG37, allowing furthermore the programming of some options of read head 30 and some state registers of microprocessor MP1. In a general way, program PG38 sends always a response on bus 60, for example an error message when a command has not been performed by a contactless card.

According to the invention, program PG39 is provided to prevent that program PG38 controls serial interface 31 at power-on of read head 30, as long as a specific activation command has not been sent to the read head. Program PG39 keeps read head 30 in an inhibited state as long as that the activation command has not been received, the inhibition state being defined by the fact that the read head does not emit any response to a command received on serial interface 31, except the already mentioned activation command.

Table 2 hereafter schematically describes the operation of program PG39 and shows how the latter switches to program PG38 when the activation command is received. The steps beginning by number 39 are steps of program PG39, and those beginning by number 38 relate to program PG38. When a connection is not provided (right column of the table), the next performed step is the step that immediately follows the current step. Furthermore, providing a deactivation command may be considered as optional if the supply voltage VCC on bus 60 is suppressed at the end of a contactless communication.

Table 2 (programs PG39 and PG38)

Step	Operation	Connection
39.1	Start/power-on	
39.2	Command received?	No: return to 39.2
39.3	Received command = ACTIV	No: return to 39.2
39.4	Send response: OK-ACTIV	Go to 38.1
39.5	(Return from PG38)	Yes: go to 39.2
	Received command = DEACTIV	No: return to 38.1
39.6	Send response OK-DEACTIV	
38.1	Command received? (Temporization)	No: go to 39.5
38.2	Perform command or transmit command with P_{cn} format to contactless interface 32	
38.3	Send ISO response on bus 60 or send back with ISO format a response received from a contactless card	
38.4	End of communication?	Yes: go to 39.5
		No: go to 38.1

According to the invention, the activation ACTIV and the deactivation DEACTIV commands received with the ISO format are

5 preferably commands of a type never sent or likely to be never sent to a contact card by central processing unit 20. In other terms, the series of bits forming these commands must not be present in the set of existing commands for contact cards. They are for example commands forbidden by the standard ISO 7816 (forbidden CLA,

10 forbidden INS...) or commands incorporating a specific series of bytes having a very small probability of being used during a communication with a contact card.

To aid in better understanding, there will be found hereafter examples of ISO commands which can be selected as activation and

15 deactivation commands.

Activation command:

> CLA = $8E_h$ (proprietor class)
>
> INS = AA_h (code of the command ACTIV)
>
> P1 = 55_h
>
> P2 = BC_h
>
> L = 0 (no parameter required in response)

Deactivation command:

> CLA = $8E_h$
>
> INS = AD_h (code of the command DEACTIV)
>
> P1 = 55_h
>
> P2 = BC_h
>
> L = 0 (no parameter required in response)

In an alternative embodiment, the activation or deactivation commands may also have the form of one or more pulses or signals sent on one or more wires of communication bus 60, different from the wires VCC, GND, RST, CLK, I/O, such wires being provided by the standard ISO 7816 but generally not used by contact chip cards.

Finally, the read head according to the invention does not respond to ISO commands passing on bus 60 as long as the read head is in the inhibited state. The risks of collision with responses sent on bus 60 by a contact card are thus prevented.

The operation of reader 10 according to the invention will be better understood when reading the following description of central processing unit 20.

Central processing unit 20, with a per se conventional structure, basically comprises a microprocessor MP2, a memory MEM2 and, if necessary, a keyboard 21 and a display screen 22. Microprocessor MP2 comprises a serial port 23 connected to the wires VCC, GND, I/O, CLK and RST of communication bus 60.

Memory MEM2 comprises data (DATA) and a layered program PG20 comprising various sub-routines PG21 to PG25 described by table 3 hereafter.

Table 3 (content of memory MEM2)

PG25	Selection program of the operating mode
PG24	Application program of the contactless mode
PG23	Application program of the contact mode
PG22	Management of the communication protocol ISO 7816
PG21	Operating system of microprocessor MP2

Program PG22, or "protocol" layer of the software of the reader, is a conventional program providing the setting in ISO form of the commands as well as the management of the communications. The application program PG23, also conventional, is provided for managing a transaction with a contact card. In practice, central processing unit 20 may use a plurality of application programs PG23 in order to be able to interact with a plurality of contact cards from different origins, each card manufacturer defining its own set of commands while complying with the recommendations of the ISO standard. In this case, a program for recognizing the inserted card and for selecting the corresponding application program will be provided, as well known by those skilled in the art.

Application program PG24, *per se* conventional, allows the management of a contactless communication. Here also, this program depends on the set of commands used by the contactless card and its manufacturer. Several contactless application programs are thus likely to coexist in memory MEM2.

According to the invention, program PG25 allows the selection of the reader's operating mode and the activation, if necessary, of read head 30. The operation of program PG25 is summarized by table 4 hereafter. The steps beginning by the numbers 23, 24 and 25 relate respectively to the programs PG23, PG24 and PG25. The priority is given to a contact mode dialogue. If no contact card responds to the activation command ISO 7816, i.e. to the passage to 1 or 0 of the signal RST on bus 60, central processing unit 20 activates read head

30 and tries to establish a communication with a contactless card. If the attempt fails, an error message is sent to the user.

Table 4 (programs PG23, PG24 and PG25)

Step	Operation	Connections
25.1	Start/power-on	
25.2	Card inserted? (Signal DET received?)	Yes: go to 23.1 No: return to 25.2
25.3	Send the command ACTIV (activation of read head 30)	Go to 24.1
25.4	Send an error message: card not present or wrong side up	
25.5	Send the command DEACTIV (deactivation of read head 30)	Go to 25.2
23.1	Search for a communication with a contact card: reset on wire RST	
23.2	Response ISO 7816 to reset received? (Communication established?)	No: go to 25.3
23.3	Dialogue in contact mode	
23.4	End of dialogue	Go to 25.2
24.1	Search for a communication with a contactless card	
24.2	Communication established?	No: go to 25.4
24.3	Dialogue in contactless mode	
24.4	End of dialogue	Go to 25.5

Of course, the present invention is likely to have various alternatives and embodiments. In particular, the fact that the read head does not respond to an ISO 7816 reset command does not necessarily imply that such a response is not provided in program PG39. By way of example, table 5, hereafter, describes an alternative of program PG39, in which steps 39.31 to 39.34 are

provided to that effect. In that case, it is imperative that terminal RST of interface 31 is not connected to wire RST of bus 60, so that the steps 39.31 to 39.34 are never performed. In reality, these steps are provided to enable read head 30 to respond to an ISO

5   reset command in the context of the design of an exclusively contactless reader. Such an alternative embodiment of program PG39 renders read head 30 polyvalent and adapted to any type of applications.

10   Table 5 (alternative to steps 39.1 to 39.3)

Step	Operation	Connection
39.1	Start/Power-on	
39.2	Command received?	No: return to 39.2
39.3	Received command = ACTIV	No: go to 39.32
39.4	Send response: OK-ACTIV	Go to 38.1*
39.31	Received command = ISO reset?	No: return to 39.2
39.32	Send an activation message P_{TG} of a contactless card	
39.33	Response of contactless card received?	No: return to 39.2
39.34	Send standard response ISO 7816-2	Go to 38.1*

(* See Table 2)

It will be apparent from the foregoing that reader 10 according to the invention is capable of reading any type of chip

15 card present in receiving device 40.

To aid in better understanding, figures 2A to 2C show three types of conventional cards which can be read. Figure 2A shows a hybrid card 51 provided with a micro-unit 52 comprising both contact zones 52-2 and a coil 52-1. Figure 2B shows a card 53, which is

20 contactless only, provided with a micro-unit 54 comprising a coil

only, and figure 2C shows a card 55 operating with contact only, provided with a micro-unit 56 comprising contact zones only.

However, as already mentioned, the advantages of the invention are not limited to the design of a reader with two operating modes but relate also to the transformation of a conventional card reader into a reader with two operating modes. Thus, it will be apparent from the foregoing that the addition of read head 30 to a contact card reader requires only the addition of programs PG24 and PG25 in memory MEM2.

Further, contactless read head 30 may be added in a simple way in a reader without being necessary to modify the hardware structure of the reader and card-receiving device 40. It is sufficient, in practice, to connect serial interface 31 of read head 30 to communication bus 60, for example by means of a pin and socket connector arranged between the connector of central processing unit 20 and the connector of bus 60, or also doing in the way described here-after, for providing a reader with two operating modes.

Figure 3 shows a simple example of adapting a conventional contact card reader, and providing a reader with two operating modes according to the invention. Card receiving device 40 conventionally comprises a housing of plastic 60 for receiving a card, represented by a bottom view without the bottom of the housing. The housing comprises flexible blades 61 which are curved in order to form a friction connector. Blades 61 extend outside housing 60 to form connection pins 62 to be welded on a printed circuit board.

Antenna coil 44 and the other elements (MP1, MEM1, 32) of contactless read head 30 have the form of flat components, welded on a printed circuit board 71 which is mounted in a housing of plastic 70 similar to housing 60. Housing 70 comprises connection pins 72 which coincide with pins 62 of housing 60, for connecting read head 30 to the communication bus of the reader. Thus, the transformation of a conventional card reader only requires mounting housing 70 on housing 60, and welding pins 72 on pins 62.

In the represented example, coil 44 is arranged on board 71 so that it faces micro-unit 54 of contactless card 53 represented in figure 2B, when this card is inserted in housing 60. However, an antenna with a larger size radiating a magnetic field in whole housing 60 may be provided, for reading a contactless card comprising an antenna coil of large diameter.

It will be clearly apparent to those skilled in the art that the present invention is likely to have numerous other alternatives and improvements. In particular, the read head according to the invention may be designed in the form of a small size specific chip ("ASIC") comprising an integrated antenna coil, the chip being in this case arranged within the card receiving device, close to the connector.

Furthermore, although the foregoing description relates to the standard ISO 7816, it will be clearly apparent to those skilled in the art that the ideas, principles and characteristics of the invention are transposable without difficulty to other types of card readers, in particular bank card readers using the standard AFNOR.

CLAIMS

1. Reader (10) of chip card (50, 51, 53, 55) comprising:

- a central processing unit (20) comprising means (MP2, MEM2, PG20, 23) for emitting and receiving, on a communication bus (60), binary messages having a first format determined by a communication
5 protocol (ISO 7816) for contact chip card,

- a card receiving device (40) comprising a contact card connector (42) connected to the central processing unit (20) by means of the communication bus (60), and

- a read head (30) for contactless chip card (53), comprising
10 a serial interface (31), characterized in that:

- the serial interface (31) of the contactless read head (30) is directly connected at least to a data wire (I/O) of the communication bus (60), and

- the contactless read head (30) is arranged in a hardware and
15 software way so as not to respond to a contact chip card activation command received on the serial interface (31), and to respond to a specific activation command (ACTIV) of the contactless read head different from a contact chip card activation command.

20 2. Reader according to claim 1, wherein the contactless read head (30) is further electrically supplied by a supply wire (Vcc) of the communication bus (60).

3. Reader according to one of the claims 1 and 2, wherein the
25 contactless read head (30) comprises means (MP1, PG39) for being set into an inhibition state at its power-on, and for leaving the inhibition state when receiving said specific activation command (ACTIV).

30 4. Reader according to claim 3, wherein the central processing unit (20) comprises means (MP2, PG20) for performing the following

operations when receiving a detection signal (DET) of the presence of a card in the reader:

- sending, on the communication bus (60), a contact card activation command, and waiting for a first response,

- if the first response is received, establishing or trying to establish a communication with a contact card,

- if the first response is not received in a predetermined time interval, sending said specific activation command (ACTIV) of the contactless read head on the communication bus (60), and waiting for a second response,

- if the second response is received, establishing or trying to establish a communication with a contactless card.

5. Reader according to one of the claims 1 to 4, wherein said contact card activation command is a reset command according to the standard ISO 7816.

6. Reader according to one of the claims 1 to 5, wherein said specific activation command (ACTIV) of the read head is a command which is likely to be never sent to a contact chip card.

7. Reader according to one of the claims 1 to 5, wherein said specific activation command (ACTIV) of the read head is a signal sent on at least one wire of the communication bus (60) which is not used by contact chip cards.

8. Reader according to one of the claims 1 to 7, wherein the contactless read head (30) comprises means (MP1, PG30) for converting messages received with the first format on the serial interface (31) into messages having a second format determined by a communication protocol (P_{rcl}) for contactless chip cards, and, vice versa, converting messages received with the second format into messages with the first format.

9. Reader according to one of the preceding claims, characterized in that the contactless read head (30) is integrated in a small size circuit arranged close to or inside the card-receiving device (40).

10. Read head (30) for contactless chip card, comprising a serial interface (31) for receiving or emitting messages with a first format determined by a communication protocol for contact chip card (ISO 7816), characterized in that it comprises means (MP1, PG39) for being set into an inhibition state at its power-on, and for leaving the inhibition state when receiving a specific activation command (ACTIV) received on the serial interface (31).

11. Read head according to claim 10, wherein the inhibition state is so that the read head does not respond to any command except to said activation command (ACTIV) of the read head.

12. Read head according to claim 10, wherein the inhibition state is so that that the read head does not respond to any command except to said activation command (ACTIV) of the read head or a chip card activation command (RST).

13. Read head according to one of the claims 10 to 12, characterized in that it comprises means for returning to the inhibition state when receiving a deactivation command (DEACTIV) received on the serial interface.

14. Read head according to one of the claims 10 to 13, characterized in that it comprises means (MP1, PG36, PG37) for converting messages received with the first format on the serial interface into messages having a second format determined by a communication protocol for contactless chip card (P_{ctl}), and, vice

versa, converting messages received with the second format into messages with the first format and responding to a specific activation command (ACTIV) of the contactless read head different from a contact chip card activation command.

CHIP CARD READER

ABSTRACT

The invention relates to a chip card reader (10) comprising a
central processing unit (20) comprising means (MP2, MEM2, PG20, 23)
for emitting and receiving, on a communication bus (60), binary
messages with a first format determined by a communication protocol
for contact chip card, a card receiving device (40) comprising a
contact card connector (42) connected to the central processing unit
(20) by means of the communication bus (60), and a read head (30)
for contactless chip card (53) comprising a serial interface (31).
According to the invention, the serial interface (31) of the
contactless read head (30) is directly connected at least to a data
wire (I/O) of the communication bus, and the contactless read head
(30) is arranged in a hardware and/or software way so that it does
not respond to a contact chip card activation command and responds
to a specific activation command (ACTIV) different from a contact
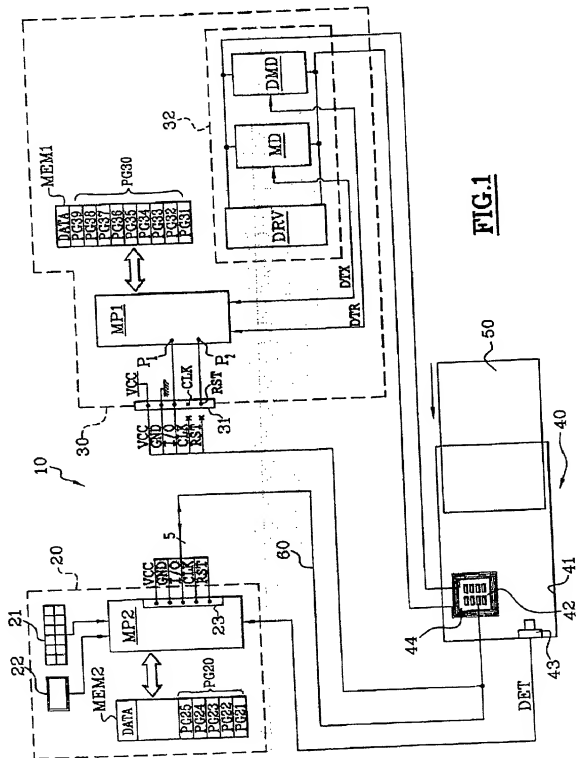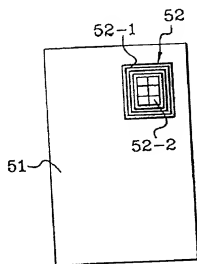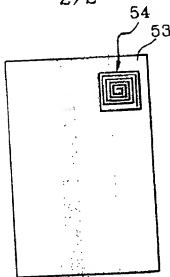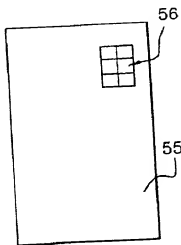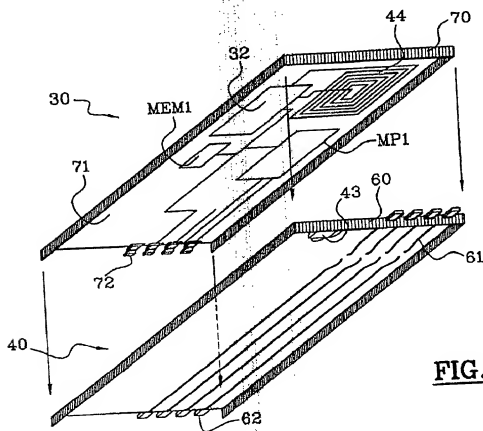chip card activation command.

Fig. 1

FIG.1

2/2

FIG.2A   FIG.2B   FIG.2C

FIG.3

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION
(37 CFR 1.63) and POWER OF ATTORNEY

☐ Declaration Submitted with Initial Filing
    OR
☑ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

Attorney Docket Number: 1400.002
First Named Inventor: **Jacek Kowalski et al.**
COMPLETE IF KNOWN
Application Number: 09/786,557 ✓
Filing Date: March 2, 2001 ✓
Group Art Unit: Not Known
Examiner Name: Not Known

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Chip Card Reader

the specification of which
    ☐ is attached hereto
        and
    ☑ was filed on March 2, 2001 as U.S. application Serial No. 09/786,557, the national phase application of PCT/FR99/02083.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)–(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

(Number)	(Country)	(Foreign Filing Date)	Priority Not Claimed	Certified Copy Attached?
98 11443 ✓	France ✓	September 4, 1998 ✓	☐	☐ Yes ☐ No
PCT/FR99/02083	France	September 2, 1999	☐	☐ Yes ☐ No
			☐	☐ Yes ☐ No

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

(Application Number)	(Filing Date)	
		☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
(Application Number)	(Filing Date)	

DECLARATION – Utility or Design Patent Application
and POWER OF ATTORNEY

As a below-named inventor, I hereby appoint the registered practitioners named below as my/our attorney(s) or agent(s) to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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